

10-29-02

3711

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PTO/SB/21 (08-00)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number 09/868,669

Filing Date September 26, 2001

First Named Inventor Bertrand, et. al

Group Art Unit 3711

Examiner Name Unassigned

Total Number of Pages in This Submission

4

Attorney Docket Number 05222.00173

Technology Center 2100

**ENCLOSURES (check all that apply)**☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/  
Incomplete Application☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53☐ Assignment Papers  
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to  
Group☐ Appeal Communication to Board of  
Appeals and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)  
(please identify below):**Preliminary Amendment  
Certificate of Express Mail  
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The Commissioner is authorized to charge any fees in connection with this correspondence to Deposit Account No. 19-0733. A duplicate of this sheet is enclosed.

**Express Mail No. EL 957198628 US****SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm  
or  
Individual nameKenneth F. Smolik  
Banner & Witcoff, Ltd.

Signature

*Kenneth F. Smolik*

Date

October 28, 2002

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CERTIFICATE OF EXPRESS MAIL  
(PATENT)

Attorney Docket No. 05222.00173

Express Mail No. EL 957198628 US  
Deposited October 28, 2002

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR §1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, DC 20231.

By: X e J d

Bertrand, et. al., U.S. Patent Application No. 09/868,669 for "A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A GOAL BASED SYSTEM UTILIZING A SPREADSHEET AND TABLE BASED ARCHITECTURE"

- Transmittal Form (in duplicate)
- Preliminary Amendment (1 page)
- Return Receipt Postcard

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BANNER & WITCOFF, LTD.  
10 South Wacker Drive  
Chicago, Illinois 60606  
Telephone (312) 715-1000



#649  
11-05-02  
E.Hall

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 05222.00173)

In re U.S. Patent Application of Bertrand, )  
et. al. )

Application No. 09/868,669 )

Examiner: Unassigned

Filed: September 26, 2001 )

Group Art Unit: 3711

For: A ~~SYSTEM~~ METHOD AND ARTICLE )  
OF MANUFACTURE FOR A GOAL )  
BASED SYSTEM UTILIZING A )  
SPREADSHEET AND TABLE BASED )  
ARCHITECTURE )

**PRELIMINARY AMENDMENT**

Assistant Commissioner for Patents  
Washington, DC 20231

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Dear Sir:

This Preliminary Amendment is being filed prior to examination. It is believed that no fee is due in connection with this filing. However, if a fee is due, the Commissioner is authorized to charge such fee to Deposit Account No. 19-0733.

Prior to examining the above-identified application, please amend the application as follows:

IN THE TITLE:

Please change the title to "A GOAL BASED SYSTEM UTILIZING A SPREADSHEET AND TABLE BASED ARCHITECTURE".

**REMARKS**

The Applicant submits the change in title in order to be more succinct.

Date: October 28, 2002

Respectfully submitted,

Kenneth F. Smolik  
Registration No. 44,344  
BANNER & WITCOFF, LTD.  
10 S. Wacker Drive, Suite 3000  
Chicago, IL 60606-7407  
Telephone: 312-715-1000  
Facsimile: 312-715 1234